

University of Kentucky

From the Selected Works of Julene L. Jones

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Authorized Access Points in RDA

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Available at: <https://works.bepress.com/julene/9/>

Authorized Access Points in RDA

Julene Jones

RDA Camp Day 1

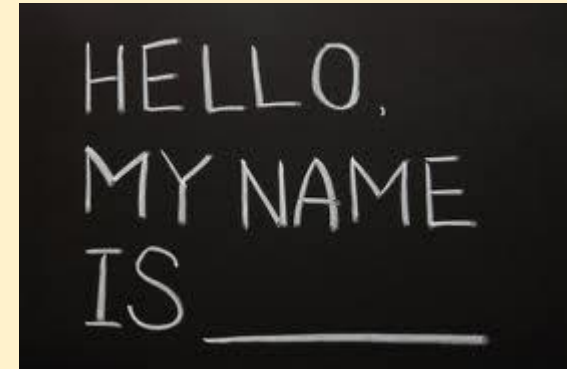
August 19, 2013

“Heading” in AACR2

now

“Authorized access point”
(AAP) in RDA

Preferred source of
information (2.2.2)



RDA ≠ AACR2

While many of the actual rules will be the same, the underlying structure is different:

Authority records are no longer there only to support access point in a bibliographic record

They are independent records describing RDA entities.

Remember FRBR!

- FRBR entities: Persons, Corporate Bodies, Families
- Each entity has attributes to find, identify, contextualize and justify
 - Person has Name, date, gender, occupation, etc.
 - Corporate body has address, associated country, etc.
- Work, Expression, etc., can be represented by an AAP (see RDA Ch. 6)
 - AAP for translation is an Expression NAR
 - AAP for story of Cinderella is a Work NAR

Implications for authorities!

- No more rule of three
- No justification required for access points
- Lots of new fields
- Preferred form of AAPs changed
- Use of relator codes / terms in |e
 - LOC list of terms: www.loc.gov/marc/relators/
 - RDA: Toolkit Appendices I thorough L
- No more abbreviations (incl. Latin)
- Changes in sacred text headings
- Definition of persons changed

more
AAPs!



RDA authorized access point in MARC

008/10 z for other

(source of descriptive cat other)

040 |e rda

(what rules are utilized)

MARC System History

Leader 02862cz a2200421n 45 0

005: 20130717080726.0

008 990118 n | _ a z a n n a a b n _____ | b _ a a a _ _ c

Tag	I1	I2	Subfield Data
010			#a no 99003356
035			#a (OCoLC)oca04220313
040			#a ICU #b eng #e rda #c ICU #d DLC
046			#f 19361217 #v Cardinals of the Holy Roman Church, viewed Mar. 13, 2013
100	0		#a Francis, #c Pope, #d 1936-

Difference #1: New fields for Authorities

*all are optional, all are repeatable except 378 and 384

- 046 dates of birth/death ; beginning/ending, etc.
- 370 place of birth/death/associated country
- 371 address (incl. postal and email)
- 372 field of activity
- 373 “associated groups” : employers, memberships, cultural identity
- 374 occupation
- 375 gender with which the person identifies
- 376 family information
- 377 associated language(s)
- 378 fuller form of name
- 380 form of work
- 381 other distinguishing characteristics of work or expression
- 382 medium of performance
- 383 numeric designation of musical work
- 384 key
- 678 biographical or historical data

008 990118n|_azannaabn_____|b_aaa_____c

040 __|aICU |beng |erda|cICU |dDLC

046 __|f19361217 |vCardinals of the Holy Roman Church, viewed Mar. 13, 2013

100 0_|aFrancis, |cPope, |d1936-

370 __|aBuenos Aires, Argentina |vCardinals of the Holy Roman Church, viewed Mar. 13, 2013

373 __|aCatholic Church. Archdiocese of Buenos Aires (Argentina) |2naf |s1992 |t2013 |vCardinals of the Holy Roman Church, viewed Mar. 13, 2013

373 __|aJesuits |2naf |s1958 |vCardinals of the Holy Roman Church, viewed Mar. 13, 2013

374 __|aPope |s2013

374 __|aCardinal |s2001 |t2013 |vCardinals of the Holy Roman Church, viewed Mar. 13, 2013

374 __|aBishop |s1992 |vCardinals of the Holy Roman Church, viewed Mar. 13, 2013

375 __|amale

377 __|aspa

RDA Toolkit: Sections 3 & 4

- Recording Attributes of Person, Family, & Corporate Body
 - Ch. 8: general guidelines
 - Ch. 9: identifying persons
 - Ch. 10: identifying families
 - Ch. 11: identifying corporate bodies
- Recording Attributes of Concept, Object, Event & Place
 - Ch. 16: identifying places (aka geographic names)

Ch. 8: General Guidelines on recording attributes of persons, families, corp. bodies

- Definitions of “person,” “family,” “corporate body,” “name” and “access point”
- Core elements listed in 8.3 (handout)
- Generalities:
 - Capitalization, numerals as words, punctuation, initials, acronyms, etc.
 - Undifferentiated name category
 - Status of identification (level of authentication)

Ch. 9: Attributes of Persons

- Name of person (9.2)
- Date(s) associated with person (9.3)
- Title of person (9.4)
- Fuller form of name (9.5)
- Other designation (9.6)
- Gender (9.7)
- Place of Birth (9.8)
- Place of Death (9.9)
- Country associated with person (9.10)
- Place of residence (9.11)
- Address of person (9.12)
- Affiliation (9.13)
- Language of person (9.14)
- Field of activity (9.15)
- Profession or occupation (9.16)
- Biographical information (9.17)
- Identifier (9.18)
- *Constructing Access Points (9.19)*

Difference #2: Form of preferred name

- Use form person most commonly known by
- Prefer fullest name (per LC PCC-PS)
- Terms indicating relationships are part of the preferred name (not just to differentiate)
- Qualifiers for occupation : some in, some out
- No more abbreviations
 - No more fl., ca., b., d., Dept., etc.

Personal Names: Additions to Access Points

- Certain elements are always added to the access point, when applicable **(9.19.1.2)**
 - Other elements are added to the AAP only when needed to break a conflict **(9.19.1.3-9.19.1.6)**:
 - Date of birth and/or death*
 - Fuller form of name*
 - Period of activity
 - Profession or occupation*
- * LC-PCC PS instructs to add these to new authority records even if not needed to distinguish.

Personal Names: Fullness of name

If the forms of a person's name vary in fullness, choose as the preferred name the form most commonly found **(9.2.2.5.1)**

If a person is known by more than one name, choose the name by which the person is most commonly known **(9.2.2.6)**

Words, etc., indicating relationships are treated as part of the preferred name and recorded if present **(9.2.2.9.5)**

For example, Jr., Sr., fils, père and numbers (e.g., III)

AACR2

Williams, Hank, |d 1949-

(dates distinguish from father, Williams, Hank, |d 1923-1953)

RDA

Williams, Hank, |c Jr., |d 1949-

(yellow handout)

Pseudonyms

- If person used “real” name and a pseudonym, establish AAP for both identities **(9.2.2.8 (and LC-PCC PS))**
 - Charles L. Dodgson – used for works on mathematics and logic
 - Lewis Carroll – pseudonym used by Charles L. Dodgson for literary works
- If pseudonym was used exclusively, use the pseudonym as the preferred name **(9.2.2.8 exception)**
 - 50 Cent
 - Curtis Jackson

Occupation qualifier (9.16, 9.19.1.2, 9.19.1.6)

- Can be professional, occupational, recreational, or unpaid
- Coded in |c, capitalized, parentheses, no comma

100 1_ |a Niven, William |c (Clergyman)

100 1_ |a Waters, Chris |c (Poet)

100 1_ |a Green, Kevin |c (Angler)

100 1_ |a Davies, Simon |c (Crime fiction author)

100 1_ |a Turner, Martin |c (Travel writer)

100 1_ |a Marsalis, Winton |c (Flutist)

Qualifiers that can no longer be used

Titles and terms of address not covered by RDA **9.19.1.2** (see list)

Post-nominal letters denoting a degree, or membership of an organization also not included

Abraham, Martin, Dr.

Graves, Ernest, Lt. Gen.

Young, Joseph, Rev.

Hughes, Claire, Ph. D.

Carter, Thomas, of London

None of the above is a permitted RDA authorized access point

Abbreviations are no longer used

AACR2

Aug.

15th cent.

ca. 1837-ca. 1896

fl. 1788-1803

b. 1825

d. 1945

Dept.

RDA

August

15th century

approximately 1837-approximately 1896

active 1788-1803

1825-

-1945

Department (unless name uses the form Dept.)

LC –PCC PS 9.3.2.3
and 9.3.3.3: use
hyphens, not “born”
and “died”

Personal Names: Dates (9.3)

- Giving dates for persons (**note LC-PCC PS 9.19.1.1**)
 - For a span of dates, separate the dates with a hyphen.
|d 1847-1899
 - For date of birth only, use a hyphen after the date.
|d 1847- (not born 1847) or |d 1847 February 11- (to differentiate)
 - For date of death only, use a hyphen before the date.
|d -1899 (not died 1899)
 - For probable dates
|d 1847?-1899
Either of two years: record as *[year]* or *[year]*
|d 1847-1898 or 1899
 - For period of activity
|d active 1800-1850 or |d active 19th century

AACR2, RDA or both?

Taken from NACO training

100	1		Blum, Nicole, #d 1969-	both!
100	1		Panzuti, Virgilio, #d 1919-1994	both!
100	1		Adamski, Adam #c (Psychologist)	RDA
100	0		Senouthios, #c Anystēs, #d active 7th century	RDA
100	1		Starr, Leon, #c pianist	AACR2
100	1		Reid, Helen #c (Pianist)	RDA

Time for a break!!

Difference # 3: Definition of persons: Fictitious & non-human entities

AACR2

- only human beings were considered “persons” for purposes of added entries
- Fictitious characters or animals included as subject headings

RDA 9.6.1.7

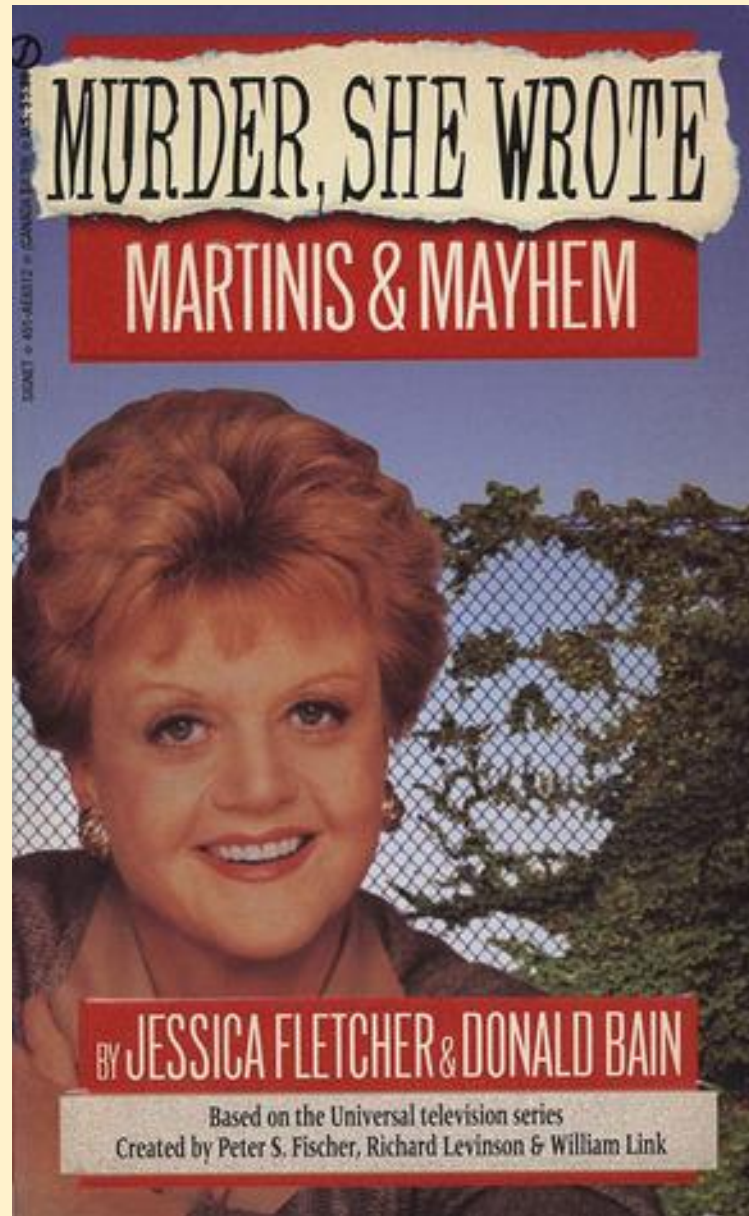
- “Persons include fictitious entities” and non-human entities
(and LC-PCC PS 9.0)
- They can be established in the LC-NAF and given as creators/contributors

BEFORE YOU LEAP

A FROG'S-EYE VIEW OF LIFE'S GREATEST LESSONS



BY KERMIT THE FROG



ARN 9056999

<u>Rec stat</u>	c	Entered	20111207	Replaced	20130716092204.0		
<u>Type</u>	z	<u>Upd status</u>	a	<u>Enc lvl</u>	n	<u>Source</u>	
<u>Roman</u>	■	<u>Ref status</u>	a	<u>Mod rec</u>		<u>Name use</u>	a
<u>Govt agn</u>	■	<u>Auth status</u>	a	<u>Subj</u>	a	<u>Subj use</u>	a
<u>Series</u>	n	<u>Auth/ref</u>	a	<u>Geo subd</u>	n	<u>Ser use</u>	b
<u>Ser num</u>	n	<u>Name</u>	a	<u>Subdiv tp</u>	n	<u>Rules</u>	z

see orange
handout

010		n 2011084408 #z sh 95003948
040		DLC #b eng #e rda #c DLC #d WaU #d DLC
053	0	PS3552.A376
100	1	Fletcher, Jessica
368		#c Fictitious character
372		Detective and mystery stories #2 lcsh
374		Novelists #2 lcsh
375		female
377		eng
378		#q Jessica Beatrice
400	1	MacGill, Jessica Beatrice
400	1	Fletcher, J. B. #q (Jessica Beatrice)
670		Trouble at high tide, 2012: #b ECIP t.p. (Jessica Fletcher)
670		Wikipedia, July 11, 2013 #b (Jessica Fletcher (born Jessica Beatrice MacGill, and writes under the initialed J.B. Fletcher) is a character and the protagonist portrayed by veteran Tony-winning actress Angela Lansbury on the American television series Murder, She Wrote. Fletcher is a best-selling author of mystery novels; Jessica Beatrice Fletcher)

Difference # 3: Definition of persons: Chapter 10: Family names

AACR2

- Families could only be included as subjects (LCSH) in bib records
- LCSH for families applied for ALL families with the same name (or variants of the same name)

RDA

- Families can be creators or contributors in bib records
- Families can be established in LC/NAF for specific families (specific time and place, not throughout existence)
 - **LC-PCC PS: RDA family AAPs should not be used as subjects, use family name LCSH instead**

Attributes of Family Names: 10.2-10.9

- Name of the Family (10.2) [core]
- Type of Family (10.3) [core]
- Date Associated with the Family (10.4) [core]
- Place Associated with the Family (10.5) [core]
- Prominent Member of the Family (10.6) [core] (use NAF)
- Hereditary Title (10.7) (plural form)
- Family History (10.8)
- Identifier for the Family (10.9) [core]
- *Constructing Access Points (10.10)*

Family name examples

(see blue handout)

100 3_ |a Calder (Family : |d 1757-1959 : |c N.C.)

100 3_ |a Koteda (Clan : |d active 15th-17th centuries : |c Hirado-shi, Japan)

Preferred name (10.2)	Type of Family (10.3)	Date associated with family (10.4)	Place associated with family (10.5)
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Chapter 11: Attributes of Corporate bodies

- Name of corporate body (11.2)
- Place associated with corporate body (11.3)
- Date associated with corporate body (11.4)
- Associated institution (11.5)
- Number of conference, etc. (11.6)
- Other designation associated with a corporate body (11.7)
- Language of corporate body (11.8)
- Address of corporate body (11.9)
- Field of activity of corporate body (11.10)
- Corporate history (11.10)
- Identifier (11.12)
- *Constructing access points (11.13)*

(see green handouts)

Corporate Bodies: Preferred Name

- Conferences, meetings, exhibitions, fairs, & festivals all use the same rules!
- Basis for AAP: Preferred name **(11.2.2)**
- Choosing the preferred name
 - Name found in resources associated with the corporate body.
 - Choose the name by which the corporate body is commonly identified.
 - Boston Symphony Orchestra* *not Boston Symphony*
 - University of Kentucky. |b Department of Physics and Astronomy* *not UK Physics Dept.*
- Add suitable designation to name if the name does not convey concept of corporate body **(11.13.1.2)**
 - George Washington (Transport ship)*
 - Red Hot Chili Peppers (Musical group)*

Corporate Bodies: Additions to Access Points

- Place Associated with the Body (11.13.1.3, 11.3.3)
 - Add a place if needed to distinguish.
 - LC-PCC PS: When two or more bodies have the same name, make an addition to each name.
 - Ensemble X (London, England)
 - Ensemble X (Ithaca, N.Y.)
 - When corporate body moves, revise existing AAP.
- Associated Institution (11.13.1.4, 11.5)
 - Add if needed to distinguish.
 - If the institution's name is commonly associated with the name of the corporate body, **prefer** this over local place name.
 - Cougar Marching Band (Brigham Young University)
 - Cougar Marching Band (University of Houston)
 - Optionally, add institution if it assists with identification, even if no conflict.

Corporate Bodies: Additions to Access Points

- Date Associated with the Body (11.13.1.5, 11.4.3-11.4.4)

- Add if needed to distinguish and neither place nor associated institution are available.

Speedwell (Sloop: approximately 1727-1736)

Optionally add if it assists in the identification of the body.

- Type of Jurisdiction (11.13.1.6 + LC-PCC PS)

- Add if needed to distinguish.
- Generally only used for governments.

Venice (Italy)

Venice (Republic : To 1797)

Corporate Bodies: Additions to Conference Access Points

- For ongoing conferences, RDA requires both individual and collective conference name authority records (11.13.1.8 + LC-PCC PS)

International Architectural Exhibition |c Venice, Italy)

International Architectural Exhibition |n (3rd : |d 1985 : |c Venice, Italy)

International Architectural Exhibition |n (13th : |d 2012 : |c Venice, Italy)

Corporate Bodies: Preferred Name

- Number or Year of Convocation of a Conference, Etc. (11.2.2.11)
 - Indications of number or year(s) of convocation are still omitted from preferred name.
 - But frequency is retained in the preferred name, unlike in AACR2.

Annual Bach Festival

not 20th Annual Bach Festival

not Bach Festival

Expo (1986 : Vancouver, B. C)

not Expo 86 (Vancouver, B. C.)

Difference #4: Sacred texts

Changes for authorized access points for sacred scriptures:

- No more abbreviations: New Testament not N.T.
- Individual books are no longer grouped under a testament
- AAP for Koran changed to Qur'an

Sacred Texts

AACR2 25.18A

RDA 6.23.2.9, 6.30.2.2, 6.23.2.5

Bible. O.T.

Bible. **Old Testament**

Bible. O.T. Jeremiah

Bible. **Jeremiah**

Bible. N.T. Revelation

Bible. **Revelation**

Bible. N.T. Corinthians, 1st

Bible. **Corinthians, 1st**

Bible. O.T. Genesis XI, 26-XX, 18

Bible. **Genesis, XI, 26-XX, 18**

Bible. O.T. Pentateuch

Bible. **Pentateuch**

Bible. N.T. Gospels

Bible. **Gospels.**

Koran

Qur'an

In RDA, individual books and groups of books of the Bible are recorded as a subdivision of Bible, rather than as a subdivision of O.T. or N.T.

Example of a work authorized access point

100 1_ |a Chase, Mary, |d 1907-1981. |t Harvey

530 _0 |I Adapted as a motion picture (work): |a Harvey (Motion picture)

130 _0 |a Harvey (Motion picture)

500 1_ |I Motion picture adaptation of (work): |a Chase, Mary, |d 1907-1981. |t Harvey

(purple handout)

Example of an expression authorized access point

100 1_ |a Sterling, Bruce. |t We see things differently

380 ___ |a short story

100 1_ |a Sterling, Bruce. |t We see things differently. |l Portuguese

377 ___ |a por

RDA Appendices for AAPs

Appendix A: Capitalization

Appendix B: Abbreviations and Symbols

Appendix E: Record Syntaxes for Access Point Control [i.e. punctuation]

Appendix F: Additional Instructions on Names of Persons [i.e. languages]

Appendix G: Titles of Nobility, Terms of Rank, etc.

Appendix H: Dates in the Christian Calendar

Appendix I: Relationship Designators [...] between Resource and Persons, Families and Corporate Bodies

Appendix K: Relationship Designators [...] between Persons, Families and Corporate Bodies

RDA Authorities at UK

- “Dept.” → Department WIP
- Sacred texts WIP
- violoncello → cello done
- Importing subject headings (Peter Ward)
- Accepting auths with “THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL THIS RECORD HAS BEEN REVIEWED AND/OR UPDATED”
- Ongoing clean-up (periodically run reports)

→ Bib notification

→ Investigate Gary Strawn’s RDA add-on program

Homework

Create an authorized access point for yourself or your family, using as many of 3XX fields as possible

Copies of workforms are in packet

Resources

- NACO training:

http://www.loc.gov/catworkshop/courses/rda_naco/course%20table.html

- ALCTS webinar (Paul Frank), recording and slides:

<http://www.ala.org/alcts/confevents/upcoming/webinar/cat/101712>

- Cambridge University's training:

<http://cambridgerda.wordpress.com/>

- List of RDA NACO examples:

<http://arliscac.pbworks.com/w/page/62540344/RDA%20NACO%20examples>